

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 09/885,865 Confirmation No.: 4988 Filed: June 19, 2001

Inventor(s):

. Shayne Young

Title:

METHODS AND SYSTEMS

FOR RECONCILING A FORWARD CONVERSION SECURITIES STRATEGY Examiner:
Art Unit:

Unknown

2161

Atty. Dkt. No:

5226-01700

CERTIFICATE OF MAILING

UNDER 37 C.F.R. §1.8

DATE OF DÉPOSIT: 1.00 L. Q. 200

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

APR 1 5 2004

GROUP 3600

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (references E1-E7) be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. \$0-1505/5226-01700/EBM.

Respectfully submitted,

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Date: Wpr. 19, 2004

Form PTO-1449 (modified)			ATTY. DKT. NO. 5226-01700		SERIAL NO. 09/885,865			
List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			APPLICANT: Shayne Young 1 P CROUP: 2 FILING DATE: June 19, 2001 APR 1 2 2004			OUP: 2161		
U.S. PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME TRADEN	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
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FOREIGN PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	E7	WO 01/42967	June 14, 2001	PCT			``	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	E1	International Search Report, PCT/US02/18063, mailed May 8, 2003						
	E2	International Search Report, PCT/US02/18224, mailed May 15, 2003						
<u>.</u>	E3	International Search Report, PCT/US02/18978, mailed May 21, 2003						
	E4	International Search Report, PCT/US02/26471, mailed December 11, 2003						
	E5 International Search Report, PCT/US02/31239, mailed April 3, 2003							
	E6	6 International Search Report, PCT/US02/34357, mailed January 7, 2003						

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.